

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: BAKER et al.Application No./Patent No./Control No.: 10/817,058Filed/Issue Date: April 2, 2004Entitled: Method of Treating Cardiac Ischemia by Using ErythropoietinPoietis, LLC

(Name of Assignee)

, a Limited Liability Company

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016424, Frame 0162, or a true copy of the original assignment is attached. and Reel 016465, Frame 0110

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

* Signature

John E. Baker

Printed or Typed Name

Member/Owner

Title

March 15, 2007

* Date

414-257-3321

* Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Our # BA-32448(1)
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 19, 2005

PTAS

500045984A

500045984A

JERE L. HOUK
WHYTE HIRSCHBOECK DUDEK S.C.
555 E. WELLS STREET, SUITE 1900
MILWAUKEE, WI 53202

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 08/19/2005

REEL/FRAME: 016424/0162
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SHI, YANG

DOC DATE: 08/19/2005

ASSIGNEE:
POIETIS, LLC
2131 NORTH 72ND STREET
WAUWATOSA, WISCONSIN 53213

SERIAL NUMBER: 10817058

FILING DATE: 04/02/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD OF TREATING CARDIAC ISCHEMIA BY USING ERYTHROPOIETIN

ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

In consideration of good and valuable consideration, the receipt, sufficiency and adequacy of which is hereby acknowledged, Yang Shi, having a residence at 2116 North 115th Street, Wauwatosa, WI 53226 ("ASSIGNOR") by these presents does hereby assign and transfer to Poietis, LLC, 2131 North 72nd Street, Wauwatosa, WI 53213 ("ASSIGNEE") and the successors, assigns, and legal representatives of the ASSIGNEE, the entire right, title and interest in and to the inventions disclosed in United States provisional application serial no. 60/460,684 (filed April 4, 2003) entitled Method of Preventing Cardiac Ischemia by Treatment with Erythropoietin, and United States patent application serial no. 10/817,058 (filed April 2, 2004) entitled Method of Treating Cardiac Ischemia by Using Erythropoietin, and in and to said applications and all Letters patent in the United States to be obtained for said inventions by said applications, and any division, continuation, reissue, renewal, or reexamination of the applications or Letters patent thereof in the United States, and hereby authorizes the U.S. Commissioner of Patents and Trademarks to issue such U.S. Letters Patent to ASSIGNEE for the sole use of ASSIGNEE, its successors or assigns. This is an assignment of the invention disclosed in United States provisional application serial no. 60/460,684 (filed April 4th, 2003) and United States patent application serial no. 10/817,058 (filed April 2, 2004) only and does not extend to or prevent Dr. Shi from continued and future research involving Erythropoietin.

ASSIGNOR further agrees to execute, at the request and expense of ASSIGNEE such other formal documents as may be required to fully convey the interest transferred herein and will similarly execute any application papers required for the filing of any division, continuation, renewal, reexamination, or reissue of the patent application or resulting Letters Patent.

To be binding on the heirs, assigns, representatives, and successors of the undersigned, and extend to the successors, assigns, and nominees of the ASSIGNEE.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 19th day of August, 2005.

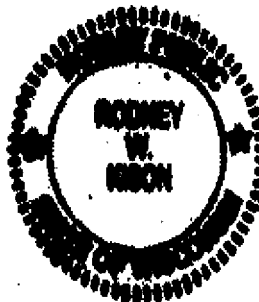
Date: 8/19/2005

Yang Shi

Subscribed and sworn to before me
this 19th day of August, 2005.

Notary Public, State of Wisconsin

My commission expires: October 26, 2008





UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 29, 2005

PTAS

500047399A

500047399A

JERE L. HOUK
WHYTE HIRSCHBOECK DUDEK S.C.
555 E. WELLS STREET, SUITE 1900
MILWAUKEE, WI 53202

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 08/29/2005

REEL/FRAME: 016465/0110
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BAKER, JOHN E.

DOC DATE: 08/25/2005

ASSIGNEE:
POIETIS, LLC
2131 NORTH 72ND STREET
WAUWATOSA, WISCONSIN 53213

SERIAL NUMBER: 10817058

FILING DATE: 04/02/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: METHOD OF TREATING CARDIAC ISCHEMIA BY USING ERYTHROPOIETIN

ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

In consideration of good and valuable consideration, the receipt, sufficiency and adequacy of which is hereby acknowledged, John E. Baker, having a residence at 2131 North 72nd Street, Wauwatosa, WI 53213 ("ASSIGNOR") by these presents does hereby assign and transfer to Poietis, LLC, having a business address at 2131 North 72nd Street, Wauwatosa, WI 53213 ("ASSIGNEE") and the successors, assigns, and legal representatives of the ASSIGNEE, the entire right, title and interest in and to the inventions disclosed in United States provisional application serial no. 60/460,684 (filed April 4, 2003) entitled Method of Preventing Cardiac Ischemia by Treatment with Erythropoietin, and United States patent application serial no. 10/817,058 (filed April 2, 2004) entitled Method of Treating Cardiac Ischemia by Using Erythropoietin, and in and to said applications and all Letters patent in the United States to be obtained for said inventions by said applications, and any division, continuation, reissue, renewal, or reexamination of the applications or Letters patent thereof in the United States, and hereby authorizes the U.S. Commissioner of Patents and Trademarks to issue such U.S. Letters Patent to ASSIGNEE for the sole use of ASSIGNEE, its successors or assigns.

ASSIGNOR further agrees to execute, at the request and expense of ASSIGNEE such other formal documents as may be required to fully convey the interest transferred herein and will similarly execute any application papers required for the filing of any division, continuation, renewal, reexamination, or reissue of the patent application or resulting Letters Patent.

To be binding on the heirs, assigns, representatives, and successors of the undersigned, and extend to the successors, assigns, and nominees of the ASSIGNEE.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 25th day of August, 2005.

Date: 8/25/2005

John E. Baker
John E. Baker

Subscribed and sworn to before me
this 25 day of AUGUST, 2005.

Mary E. Pryor
Notary Public, State of Wisconsin
My commission expires: 5/11/08